

Whistleblowing/Investigation Activity – February 2014 - February 2015

No.	Allegation Type	Date	Department	Brief Details of Allegation	Anonymous	Outcome	Action
1	HR	6.3.14	CSF	Allegation of an officer using his position to get someone on a degree course	Yes	No further action – difficult to prove level of influence or gain	No further action
2	Fraud	3.4.14	CS	Allegation that an officer was using council assets for personal use	No	No evidence of fraud but improved controls	Improved controls
3	Fraud	11.4.14	ER	Allegation of procurement procedures not followed	Yes	Disciplinary action recommended. Value unknown	Disciplinary action
4	Fraud	15.4.14	CH	Fraudulent loan application	No	Allegation that a member of staff falsified a manager signature to obtain a loan	On-going
5	Fraud	15.4.14	CH	Theft of client funds	No	Allegation that an agency member of staff stole clients' money (£757)	Prosecution
6	fraud	9/5/14	ER	Allegation of theft	No	Insufficient evidence	No further action
7	fraud	16.5.14	CS	Allegation that an officer was obtaining private work through their contacts with the council	No	Inconclusive, unable to prove	No further action
8	Fraud	16.5.14	ER	Allegation of employee not working their full hours	No	inconclusive- but found a culture with all staff leaving early etc.	Final report issued – improved controls
9	Fraud	10.5.14	ER	Unauthorised waste collection	No	Investigation concluded and found that to be evidenced.- disciplinary recommended. Value unknown	disciplinary
10	HR	2.10.14	CSF	Allegation concerning management time and offering work to others and images on computer	Yes	Inconclusive, no evidence found	No further action

11	Hr	13.10.14	ER	Allegation over amendment of risk assessment for post	No	Investigated - unproven	No further action
12	HR	15.10.14	CH	Verbal abuse	No	Allegation that an employee was verbally abusive to an officer from another council, not proven - inconclusive	No further action
13	fraud	24.9.14	CS	CTAX reduction and personal budgets not entitled	No	Allegation that a resident is not entitled to a CTAX reduction/personal budget	On-going
14	fraud	2/11/14	CH	Housing application	Yes	Applied for assistance with private rental – assessed as homeless – but evidence found that owned half a property- will reclaim assistance (£1200)	Proven – to reclaim assistance
15	HR	2/11/14	ER	Allegation concerning management time and manipulation of records	No	Allegation concerning time keeping and manipulation of records. Unproven	No further action
16	HR	28/10/14	CS	Allegation regarding recruitment process	No	Allegation on-going.	On-going
17	Fraud	13/11/14	csf	Social care assessment-allegation of bias	No	Inconclusive - unproven	No further action
18	Fraud	2/11/14	CH	Direct Payment allegation	No	Allegation that money not spent on care	On-going
19	fraud	3/11/14	ER	Refuse – cash	Yes	Allegation of cash receipts	On-going
20	Fraud	2/12/14	ER	Misuse of blue badge	No	Allegation that resident should not be entitled to a blue badge. No evidence of misuse	No further action
21	Fraud	20/1/15	CS	Allegation that CSO not followed	No	Allegation that CSO not fully followed when procuring	On-going
22	HR	26/1/15	CSF	Concern relating to school, some safeguarding issues	Yes	Investigated by department, satisfied controls in place	No further action
23	Fraud	5/2/15	ER	Allegation of misuse of blue badge	No	Ongoing investigation	On-going

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